

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of
SLOAN et al.
Application No. 09/929,610
Filed: August 13, 2001
For: A FINANCIAL PLANNING AND
COUNSELING SYSTEM PROJECTING
USER CASH FLOW

Examiner: Not assigned
Group Art Unit: Not assigned
Date: December 6, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, D.C. 20231 on December 6, 2001.

Signed: *Yvonne Mock*
Yvonne Mock

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
BOX: MISSING PARTS
Washington, DC 20231

Sir:

Enclosed herewith are the original, executed Declaration and Power of Attorney for Patent Application and Assignment and Assignment Recordation Sheet in response to the Notice to File Missing Parts of Application--Filing Date Granted mailed September 6, 2001. Please file these documents in the subject application.

Applicant hereby requests a one-month extension of time to December 6, 2001 in which to respond to Notice to File Missing Parts of Application--Filing Date Granted mailed September 6, 2001.

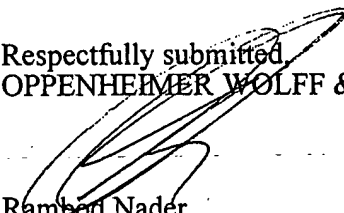
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|-------------------------------|------------------|
| 1) Missing Parts Surcharge | \$ 130.00 |
| 2) Assignment Recordation Fee | \$ 40.00 |
| 3) One-month Extension Fee | <u>\$ 110.00</u> |

Total \$ 280.00

The Commissioner is authorized to charge any fees that are due to our Deposit Account No. 02-3964 (Order No. 60021.375802). A copy of this sheet is enclosed for this purpose.

**COPY OF PAPERS
ORIGINALLY FILED**

Respectfully submitted,
OPPENHEIMER WOLFF & DONNELLY, LLP


Rambo Nader
Reg. No. 47,262

1400 Page Mill Road
Palo Alto, CA 94304
Phone: (650) 320-4358
Fax: (650) 320-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,610	08/13/2001	Ronald E. Sloan	60021.375802

CONFIRMATION NO. 9691

FORMALITIES LETTER

OC00000006522071

 OPPENHEIMER WOLFF & DONNELLY, LLP
 1400 Page Mill Road
 Palo Alto, CA 94304

Date Mailed: 09/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Stephen B. Slutsky
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

RECEIVED

 OPPENHEIMER WOLFF & DONNELLY, LLP
 PALO ALTO, CALIFORNIA
 SEP 11 2001

Customer Service Center

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